

image 1644

**BAKER BOTTS LLP**

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TRADEMARK

|  |                               |                    |
|--|-------------------------------|--------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>     | 09/808,555         |
|  | <b>Filing Date</b>            | June 6, 2000       |
|  | <b>First Named Inventor</b>   | Takashima          |
|  | <b>Group Art Unit</b>         | 1644               |
|  | <b>Examiner Name</b>          | Ewoldt, Gerald R.  |
| <b>Total Number of Pages in This Submission</b>  | <b>Attorney Docket Number</b> | A33865 090495.0233 |

| <b>ENCLOSURES (check all that apply)</b>                                     |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Reply                        | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below):                       |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  |  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <b>Remarks</b> <input type="checkbox"/>   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b> |   |
|---|---|
| <b>Firm or Individual name</b>                    | BakerBotts LLP<br>30 Rockefeller Plaza<br>New York, NY 10112            |
| <b>Signature</b>                                  | <i>Rochelle K. Seide</i> Att Name: Rochelle K. Seide<br>PTO Reg: 32,300 |
| <b>Date</b>                                       | January 5, 2004   |

| <b>CERTIFICATE OF MAILING</b>  |   |
|--|---|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: January 5, 2004 |   |
| <b>Typed or printed name</b>   | Rochelle K. Seide                             |
| <b>Signature</b>   | <i>Rochelle K. Seide</i> Date January 5, 2004 |

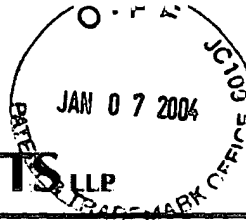
**BAKER BOTTS LLP**

Attorney Docket Number: A33865 090495.0233

Title: IN SITU LANGERHANS CELL VACCINE

Use Space Below for Additional Information:

BAKER BOTTS LLP



# FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0

Complete if Known

Application Number 09/808,555  
Filing Date June 6, 2000  
First Named Inventor Takashima  
Examiner Name Ewoldt, Gerald R.  
Art Unit 1644  
Attorney Docket No. A33865 090495.0233

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 02-4377  
Deposit Account Name Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770                   | 2001 385                   | Utility filing fee     |          |
| 1002 340                   | 2002 170                   | Design filing fee      |          |
| 1003 530                   | 2003 265                   | Plant filing fee       |          |
| 1004 770                   | 2004 385                   | Reissue filing fee     |          |
| 1005 160                   | 2005 80                    | Provisional filing fee |          |
| SUBTOTAL (1)               |                            |                        | (\$ ) 0  |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims              | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent Claims        | - 20 = 0     | X              | 0        |
| Multiple Dependent Claims | - 3 = 0      | X              | 0        |

| <u>Large Entity</u> |          | <u>Small Entity</u> |          | <u>Fee Description</u>                                     |
|---------------------|----------|---------------------|----------|--|
| Fee Code            | Fee (\$) | Fee Code            | Fee (\$) |  |
| 1202                | 18       | 2202                | 9        | Claims in excess of 20                                     |
| 1201                | 86       | 2201                | 43       | Independent claims in excess of 3                          |
| 1203                | 290      | 2203                | 145      | Multiple dependent claim, if not paid                      |
| 1204                | 86       | 2204                | 43       | ** Reissue independent claims over original patent         |
| 1205                | 18       | 2205                | 9        | ** Reissue claims in excess of 20 and over original patent |

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$)       | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|---------------------|---------------|--|----------|
| 1051 130      | 2051 65       | 1052 50             | 2052 25       | Surcharge - late filing fee or oath                    |          |
| 1053 130      | 1053 130      | 1812 2,520          | 1812 2,520    | Surcharge - late provisional filing fee or cover sheet |          |
| 1804 920*     | 1804 920*     | 1805 1,840*         | 1805 1,840*   | Non-English specification                              |          |
| 1251 110      | 2251 55       | 1252 420            | 2252 210      | For filing a request for ex parte reexamination        |          |
| 1253 950      | 2253 475      | 1254 1,480          | 2254 740      | Requesting publication of SIR prior to Examiner action |          |
| 1255 2,010    | 2255 1,005    | 1401 330            | 2401 165      | Requesting publication of SIR after Examiner action    |          |
| 1402 330      | 2402 165      | 1403 290            | 2403 145      | Extension for reply within first month                 |          |
| 1451 1,510    | 1451 1,510    | 1452 110            | 2452 55       | Extension for reply within second month                |          |
| 1453 1,300    | 2453 650      | 1501 1,330          | 2501 665      | Extension for reply within third month                 |          |
| 1502 480      | 2502 240      | 1503 630            | 2503 315      | Extension for reply within fourth month                |          |
| 1460 130      | 1460 130      | 1460 130            | 1460 130      | Extension for reply within fifth month                 |          |
| 1807 50       | 1807 50       | 1806 180            | 1806 180      | Notice of Appeal                                       |          |
| 1808 180      | 1808 180      | 8021 40             | 8021 40       | Filing a brief in support of an appeal                 |          |
| 8021 40       | 8021 40       | 1809 770            | 2809 385      | Request for oral hearing                               |          |
| 1809 770      | 2809 385      | 1810 770            | 2810 385      | Petition to institute a public use proceeding          |          |
| 1810 770      | 2810 385      | 1801 770            | 2801 385      | Petition to revive - unavoidable                       |          |
| 1801 770      | 2801 385      | 1802 900            | 1802 900      | Petition to revive - unintentional                     |          |
| 1802 900      | 1802 900      | Other fee (specify) |               |  |          |
| SUBTOTAL (3)  |               |                     | (\$ ) 0       |  |          |

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type) Rochelle K. Seide  
Signature Rochelle K. Seide

Registration No. (Attorney/Agent) 32,300

(Complete if applicable)

Telephone 212-408-2500

Date January 5, 2004



A33865/ 090495.0233  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Takashima et al.  
Serial No. : 09/808,555 Examiner: Gerald R. Ewoldt  
Filed : June 6, 2000 Group Art Unit: 1644  
For : IN SITU LANGERHANS CELL VACCINE

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
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January 5, 2004  
Date of Deposit

Rochelle K. Seide  
Attorney Name  
Rochelle K. Seide  
Signature

32,300  
PTO Registration No.

January 5, 2004  
Date of Signature

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

This paper is being filed in response to the Notice of Non-Compliant Amendment ("Notice") mailed December 4, 2003. The Notice indicated that the listing of claims submitted in the Amendment mailed August 26, 2003 did not include the text of all claims, specifically the withdrawn claims. Applicants submit a listing of claims, which includes the withdrawn claims, beginning at page 2 of this paper.